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## S T A N D A R D S

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Digital Video Subcommittee

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AMERICAN NATIONAL STANDARD

ANSI/SCTE 215-1-1 2020b

HEVC Video Constraints for Cable Television  
Part 1-1 HDR

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## 1. Introduction

### 1.1. Executive Summary

This document in combination with SCTE 215-1 specifies the creation of an HDR10 HEVC coded video elementary stream and is intended for cable video services applications such as broadcast, time-shifting (e.g., PVR/DVR service), Video-on-Demand services, and splicing (e.g., Ad-insertion) that could employ the specifications in this document. However, constraints specific to those applications are outside of the scope of this document.

Dynamic metadata applications can be used to adapt HDR imagery to a multiplicity of displays that have different characteristics than the underlying content.

The means to carry SCTE dynamic metadata Application #1 (DM App #1) and Application #4 (DM App #4) in an HEVC bitstream is described in Section 7 and in Appendices A and B. SCTE DM Apps describe parametric data sets to be used to adapt the imagery for viewers' displays and environment.

Section 9 describes the CMAF bindings to carry SCTE DM Apps #1 and #4 in a CMAF container.

### 1.2. Scope

This document defines the additional coding constraints on SCTE 215-1 HDR video streams using an HDR10 format.

## 2. Normative References

The following documents contain provisions, which, through reference in this text, constitute provisions of this document. At the time of Subcommittee approval, the editions indicated were valid. All documents are subject to revision; and while parties to any agreement based on this document are encouraged to investigate the possibility of applying the most recent editions of the documents listed below, they are reminded that newer editions of those documents might not be compatible with the referenced version.

### 2.1. SCTE References

- [1] ANSI/SCTE 215-1, HEVC Video Constraints for Cable Television Part 1- Coding.
- [2] ANSI/SCTE 128-1, AVC Video Constraints for Cable Television Part 1- Coding.

### 2.2. Standards from Other Organizations

- [3] ITU-R BT.2100-2:2018 Image parameter values for high dynamic range television for use in production and international programme exchange.
- [4] SMPTE ST 2094-1:2016, "Dynamic Metadata for Color Volume Transformation – Core Components".
- [5] SMPTE ST 2094-10:2016, "Dynamic Metadata for Color Volume Transformation – Application #1".
- [6] SMPTE ST 2086:2018, "Mastering Display Color Volume Metadata Supporting High Luminance and Wide Color Gamut".
- [7] ISO/IEC 23000-19:2020, "Information technology -- Multimedia application format (MPEG-A) - Part 19: Common media application format (CMAF) for segmented media".
- [8] IETF RFC 6381, The 'Codecs' and 'Profiles' Parameters for "Bucket" Media Types, 15 August 2011.

- [9] ISO/IEC 23008-8:2018, “Information technology — High efficiency coding and media delivery in heterogeneous environments — Part 8: Conformance specification for HEVC”
- [10] CTA-5001-B (2019), Web Application Video Ecosystem – Content Specification
- [11] CTA-861-H "A DTV Profile for Uncompressed High Speed Digital Interfaces"

### 2.3. Published Materials

- No normative references are applicable.

## 3. Informative References

The following documents might provide valuable information to the reader but are not required when complying with this document.

### 3.1. SCTE References

- [12] ANSI/SCTE 215-2, HEVC Video Constraints for Cable Television Part 2- Transport.

### 3.2. Standards from Other Organizations

- [13] SMPTE ST 2036-1, Ultra High Definition Television- Image Parameter Values for Program Production.
- [14] ITU: Report ITU-R BT.2390, “High dynamic range television for production and international programme exchange,” International Telecommunications Union, Geneva.

### 3.3. Published Materials

- No informative references are applicable.

## 4. Compliance Notation

|                   |   |
|-------------------|---|
| <i>shall</i>      | This word or the adjective “ <i>required</i> ” means that the item is an absolute requirement of this document.   |
| <i>shall not</i>  | This phrase means that the item is an absolute prohibition of this document.  |
| <i>forbidden</i>  | This word means the value specified shall never be used.  |
| <i>should</i>     | This word or the adjective “ <i>recommended</i> ” means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications should be understood and the case carefully weighted before choosing a different course.                    |
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| <i>may</i>        | This word or the adjective “ <i>optional</i> ” means that this item is truly optional. One vendor may choose to include the item because a particular marketplace requires it or because it enhances the product, for example; another vendor may omit the same item.             |
| <i>deprecated</i> | Use is permissible for legacy purposes only. Deprecated features may be removed from future versions of this document. Implementations should avoid use of deprecated features.   |

This document contains symbolic references to syntactic elements used in the video and transport coding subsystems. These references are typographically distinguished by the use of a different font (e.g., reserved), *may* contain the underscore character (e.g., constraint\_set0\_flag) and *may* consist of character strings that are not English words (e.g., pic\_width\_in\_mbs\_minus1).

## 5. Abbreviations and Definitions

### 5.1. Abbreviations

|      |   |
|------|---|
| CLLI | Content Light Level information                 |
| CLL  | Content Light Level                             |
| DM   | dynamic metadata                                |
| DVB  | Digital Video Broadcasting                      |
| DVS  | Digital Video Subcommittee                      |
| ETSI | European Telecommunications Standards Institute |
| FALL | Frame Average Light Level                       |
| HDR  | High Dynamic Range                              |
| HDTV | High Definition Television                      |
| MDCV | Mastering Display Color Volume                  |
| MPEG | Moving Picture Experts Group                    |
| PALL | Picture Average Light Level                     |
| SEI  | Supplemental Enhancement Information            |
| VUI  | Video Usability Information                     |
| WCG  | Wide Color Gamut                                |

### 5.2. Definitions

|        |  |
|--------|--|
| DM App | Dynamic metadata associated with a specific application used on the HDR video content stream. This type of information is encapsulated within an SEI message used by the application in a post decode process.   |
| HDR DM | Additional information about an HDR video content stream. Use is optional and intended to enhance video presentation quality. It is dynamic in that it may change at each access unit or group of access units. It may be transmitted either along with the content stream or by other delivery means. |
| HDR10  | High Dynamic Range with a PQ EOTF, BT.2100 WCG container, 10 bit pixel values which may include MaxCLL, MaxFALL, and Mastering Display Color Volume information  |
| HEVC   | ITU-T Rec. H. 265   ISO/IEC 23008-2:2014 High Efficiency Video Coding [1]  |

Numerical formats are defined in Table 1.

**Table 1 - Numerical Format Definitions**

| Example Values | Description                           |
|----------------|---------------------------------------|
| 12345          | Example of a decimal value format     |
| 0x2A           | Example of a hexadecimal value format |
| '10010100'     | Example of a string of binary digits  |

## 6. Possible Video Inputs – HDR10

Video streams can also be in the form of high dynamic range (HDR) which consists of streams of dynamic range types identified in Table 2.

**Table 2 - HDR Dynamic Range Types of Video**

| <b>HDR Type</b>              | <b>Transfer Function</b> | <b>Color Gamut</b> | <b>Bits Per Pixel</b> | <b>Additional Info</b>   |
|------------------------------|--------------------------|--------------------|-----------------------|--|
| HDR10                        | PQ                       | BT.2100            | 10                    | <b>Optional:</b><br>MDCV SEI<br>CLLI SEI   |
| HDR10 with<br>SCTE DM App #1 | PQ                       | BT.2100            | 10                    | <b>Mandatory:</b><br>MDCV SEI<br>SCTE DM App<br>#1 SEI<br><b>Optional:</b><br>CLLI SEI |
| HDR10 with<br>SCTE DM App #4 | PQ                       | BT.2100            | 10                    | <b>Mandatory:</b><br>MDCV SEI<br>SCTE DM App<br>#4 SEI<br><b>Optional:</b><br>CLLI SEI |

*NOTE 1: Resolution of video can be considered orthogonal to the dynamic range properties of video. For example, HDR10 streams may be either HDTV or UHD TV1 resolution.*

*NOTE 2: Syntax and semantics for SCTE DM App #1 can be found in Appendix A.*

*NOTE 3: Syntax and semantics for SCTE DM App #4 can be found in Appendix B.*

*NOTE 4: A single HDR10-based program may have neither, either or both Dynamic Metadata types present.*



## 7. Additional Video Coding Constraints for HDR10 HEVC Coded Streams

### 7.1.1. Additional VUI Constraints

Additional VUI constraint sets for colorimetry information for HDR10 are listed in Table 3:

**Table 3 - Video Usability Information Constraints**

| VUI Header Syntactic Element | Allowed Value |
|------------------------------|---------------|
|                              | HDR10         |
| colour primaries             | 9             |
| transfer characteristics     | 16            |
| matrix coeffs                | 9             |
| video full range flag        | 0             |

It is required that the colorimetry information be sent for Level 5 and Level 5.1 (UHDTV1) bitstreams and for all HDR10 type bitstreams.

### 7.1.2. Additional Supplemental Enhancement Information (SEI) Constraints

HDR10 usage constraints on types of SEI messages are listed in Table 4.

**Table 4 - Additional Supplementary Enhancement Information Constraints for Carriage of HDR10 Metadata**

| SEI Header Syntactic Element                | Usage Constraints                 |
|---|-----------------------------------|
| Mastering Display Colour Volume SEI message | Optionally used at Sequence Level |
| Content Light Level Information SEI message | Optionally used at Sequence Level |

*NOTE 5: The MaxCLL and MaxPALL information are conveyed respectively in max\_content\_light\_level and max\_pic\_average\_light\_level parameters of the Content Light Level Information SEI message. MaxPALL is also more commonly known as MaxFALL.*

*NOTE 6: The use of the SEI messages listed in Table 4 is optional.*

### 7.1.3. Constraints on HEVC Coding for HDR10 Streams with SCTE DM App #1

#### 7.1.3.1. Additional Constraints for SCTE DM App #1

Where a SCTE DM App #1 metadata message is present, the following constraints *shall* apply:

- The SCTE DM App #1 metadata message *shall* be associated with every access unit of the bitstream.
- The number of extension blocks with ext\_block\_level equal to 1 *shall* be constrained to be equal to 1.
- The number of extension blocks with ext\_block\_level equal to 2 *shall* be constrained to be less than 16.
- The number of extension blocks with ext\_block\_level equal to 4 *shall* be constrained to be equal 0 or 1.
- The number of extension blocks with ext\_block\_level equal to 5 *shall* be constrained to be equal 0 or 1.

### 7.1.3.2. Additional Supplemental Enhancement Information (SEI) Constraints

When SCTE DM App #1 is present in the bitstream, the MDCV SEI message *shall* also be present.

### 7.1.4. Constraints on HEVC Coding for HDR10 Streams with SCTE DM App #4

#### 7.1.4.1. Additional Constraints for SCTE DM App #4

SCTE DM App #4 uses a NAL\_unit\_type set equal to PREFIX\_SEI\_NUT to convey the metadata message. Where a SCTE DM App #4 metadata message is present, the following constraint *shall* apply:

- The SCTE DM App #4 metadata message *shall* be associated with every access unit of the bitstream.

#### 7.1.4.2. Additional Supplemental Enhancement Information (SEI) Constraints

When SCTE DM App #4 is present in the bitstream, the MDCV SEI message *shall* also be present.

## 8. The HDR10 (video) Media Profile

This media profile is defined in CMAF [7], and is referenced CTA WAVE in CTA-5001-B 2019 [10], Table 1, as reproduced below in Table 5.

*NOTE 7: Guidelines for CMAF Video Profiles are given in Section 12.1.3 of the CMAF specification.*

**Table 5 - CTA-5001-B 2019 HDR10 (Video) Media Profile Definition**

| Media Profile Name | <i>INFORMATIVE</i> Codec | <i>INFORMATIVE</i> Profile     | <i>INFORMATIVE</i> Level | <i>INFORMATIVE</i> Color Primaries & Matrix Coefficients | <i>INFORMATIVE</i> Transfer Characteristics | <i>INFORMATIVE</i> 'codecs' MIME subparameters | <i>NORMATIVE</i> CMAF Brand | <i>NORMATIVE</i> Normative Reference |
|--------------------|--------------------------|--------------------------------|--------------------------|--|---|--|-----------------------------|--------------------------------------|
| HDR10              | HEVC                     | Main 10<br>Main Tier<br>10-bit | 5.1                      | 9 (BT.2020)  | 16 (BT2100 Table 4 PQ EOTF)                 | hev1.2.4.L153.BO<br>hvc 1.2.4.L153.BO          | 'chd1'                      | (CMAF) Table B.1                     |

## 9. SCTE Dynamic Metadata In The MPEG Common Media Application Format (CMAF)

### 9.1. Introduction

This section defines how to package and encode the Media Profiles of SCTE DM App(s) information in the CMAF recognized (Video) Media Profile HDR10 (i.e., CMAF file brand 'chd1' is contained in the CMAF filetype box "ftyp"), as specified in CMAF [7], Annex B.

The nominal use case for cable has one HDR rendering that can be decoded by multiple types of decoders. This is described in normative text in this section. Where appropriate, additional text is provided for specialized use cases. It also identifies accommodations that may address alternate implementations closely aligned with this specification.

Dynamic metadata may be carried in HEVC video streams. Carriage of DM App #1 is as specified in Appendix A; carriage of DM App #4 is as specified in Appendix B. This dynamic metadata relies on the

constraints, conformance requirements, and playback requirements specified in the CMAF Media Profile HDR10 as defined in CMAF [7]

## 9.2. Constraints on HEVC elementary streams carrying SCTE HDR Dynamic Metadata

### 9.2.1. General

This specification defines a CMAF HEVC Media Profile. This profile *shall* conform to the CMAF “HDR10” HEVC Media Profile with CMAF file type (‘chd1’) Media Profile as defined in CMAF [7] Annex B except as constrained and extended here. All specifications in CMAF [7] clause 9.3.2, “CMAF Track Format Constraints for NAL Structured Video” *shall* apply.

### 9.2.2. Sequence Parameter Set

The Sequence Parameter Set *shall* be set according to the provisions set forth in SCTE 215-1 [1], and in CMAF [7] Section B.3.3.4

### 9.2.3. Supplemental Enhancement Information messages

For SCTE DM App #1, SEI messages *shall* be encoded and transported as specified in SCTE 128-1 [2]. Exactly one SCTE dynamic metadata SEI message *shall* be sent for every access unit of the bitstream.

For SCTE DM App #4, SEI messages *shall* be encoded and transported as specified in CTA-861 [11].

## 9.3. Constraints on CMAF Tracks carrying HDR Dynamic Metadata

The constraints on the CMAF tracks are specified by the HEVC media profile, and the constraints are specified in CMAF [7], Annex B, and further constrained in Section 8 of this document.

### 9.3.1. Switching Sets

If more than one CMAF track is in a CMAF Switching Set, then the identical SCTE dynamic metadata SEI Message *should* be present in each CMAF track, except for metadata values that are resolution dependent, which *shall* be specified to match the resolution of that CMAF track.

### 9.3.2. Signaling of “codecs”

The values of the codecs parameter in HDR10 streams containing SCTE DM App(s) information *shall* be as specified in column 4 (SCTE DM ‘codecs’ parameter) of Table 6 – High Dynamic Range ‘codecs’ parameters. The base CMAF Media Profile of these streams is HDR10 (‘chd1’) as defined in CMAF [7], Annex B.

**Table 6 - High Dynamic Range ‘codecs’ parameters**

| SCTE DM App    | Sample Entry | Base CMAF Media Profile & ‘codecs’ Parameter | SCTE DM ‘codecs’ Parameter |
|----------------|--------------|--|----------------------------|
| SCTE DM App #1 | hev1         | HDR10 (‘chd1’),<br>hev1.2.4.L153.B0          | dvhe.08.09                 |
| SCTE DM App #1 | hvc1         | HDR10 (‘chd1’),<br>hvc1.2.4.L153.B0          | dvh1.08.09                 |
| SCTE DM App #4 | hev1         | HDR10 (‘chd1’),                              | same as HDR10              |

|                |      |                                     |               |
|----------------|------|-------------------------------------|---------------|
|                |      | hev1.2.4.L153.B0                    |               |
| SCTE DM App #4 | hvc1 | HDR10 ('chd1'),<br>hev1.2.4.L153.B0 | same as HDR10 |

For the nominal use case, content authors **shall** include all applicable codec parameters in the `codecs` parameter list per RFC6381[8]. This allows HDR10 decoders and those supporting identified dynamic metadata systems to decode the content. As an example, for DM App #1, a content author would encode the `codecs` parameter as `codecs="dvhe.08.09,hev1.2.4.L153.B0"`. When multiple codecs are listed in the `codecs` string, the HDR10 codec string **shall** be last in the list.

For the specialized use case in which playback is to be limited to devices that only support DM App #1, the list **shall** include only "dvhe.08.09" or "dvh1.08.09".

*NOTE 8: An alternate way of specifying cross compatible behavior is to include two separate adaptation sets in the Manifest, one adaptation set that includes only the DM App #1 codec string, and one adaptation set that includes only the HDR10 codec string, where all adaptation sets point to the same content stream. This allows for some flexibility in the implementation of HDR for legacy support.*

### 9.3.3. SCTE Dynamic Metadata Compatible Brands

For the nominal use case, content providers **shall** include 'chd1' (HDR10) in the list of compatible brands in the 'ftyp' box, along with all applicable DM compatible\_brands as defined in Table 7, column 2. HEVC Media Profiles and Track Brands **shall** conform to clause CMAF [7] Section B.5 except for Table 6.

For the specialized use case in which playback is to be limited to devices that support DM App#1, the ftyp list may be limited to the ftyp for DM App #1.

**Table 7 - SCTE Dynamic Metadata CMAF Data**

| Name           | Compatible Brand | Format     | Notes                                   |
|----------------|------------------|------------|---|
| SCTE DM App #1 | 'cdm1'           | Appendix A | SCTE DM App #1 Metadata in SEI messages |
| SCTE DM App #4 | 'cdm4'           | Appendix B | SCTE DM App #4 Metadata in SEI messages |

For SCTE DM App #1, the video stream **shall** contain metadata messages as specified in Appendix A, which **shall** be included in a "user\_data\_registered\_itu\_t\_t35" SEI T.35 construct as defined in SCTE 128-1 Table 12 [2].

For SCTE DM App #4, the video stream **shall** contain metadata messages as specified in Appendix B.

## 9.4. Conformance and Playback Requirements for CMAF tracks carrying HDR Dynamic Metadata

Conformance and playback requirements on the CMAF tracks are specified by the HEVC media profile HDR10, including conformance requirements specified for HDR10 in CMAF [7], conformance requirements for HEVC specified in ISO/IEC 23008-8 [9].

# Appendix A: Syntax and Semantics for SCTE DM App #1

## A.1 Data structure for DM App #1

SCTE DM App #1 data structure is shown in Table 8.

**Table 8- DM App #1**

| Syntax   | No. of Bits | Format |
|--|-------------|--------|
| <pre> SCTE_DM_App1() {     if( full_preamble_flag ){         Preamble()         Mastering_DisplayClass()     }else{         Persistence_Preamble()     }     dm_app1_data_payload() } </pre> |             |        |

## A.2 DM App #1 structure

The internal structure is shown in the following paragraphs.

full\_preamble\_flag *shall* be set to a value of 1 at least for every IRAP.

### A.2.1 Preamble structures for DM App #1

A preamble consists of a series of fixed 32-bit words. When pictures designated as IRAP are presented, the full Preamble() *shall* be included in the bit stream. The Preamble() data structure is shown in Table 9. When non-IRAP pictures are presented, the smaller Persistence\_Preamble() *shall* be included in the bit stream. The Persistence\_Preamble() data structure is shown in Table 10.

**Table 9 - Preamble()**

| Syntax      | No. of Bits | Format |
|-------------|-------------|--------|
| Preamble(){ |             |        |
| Reserved_00 | 32          | uimsbf |
| Reserved_01 | 32          | uimsbf |
| Reserved_02 | 32          | uimsbf |
| Reserved_03 | 32          | uimsbf |
| Reserved_04 | 32          | uimsbf |
| Reserved_05 | 32          | uimsbf |
| Reserved_06 | 32          | uimsbf |
| Reserved_07 | 32          | uimsbf |
| Reserved_08 | 32          | uimsbf |
| Reserved_09 | 32          | uimsbf |
| Reserved_10 | 32          | uimsbf |
| Reserved_11 | 32          | uimsbf |
| Reserved_12 | 32          | uimsbf |
| Reserved_13 | 32          | uimsbf |
| Reserved_14 | 32          | uimsbf |
| Reserved_15 | 32          | uimsbf |
| Reserved_16 | 32          | uimsbf |
| Reserved_17 | 32          | uimsbf |
| Reserved_18 | 32          | uimsbf |
| Reserved_19 | 32          | uimsbf |
| Reserved_20 | 32          | uimsbf |
| Reserved_21 | 32          | uimsbf |
| Reserved_22 | 32          | uimsbf |
| Reserved_23 | 32          | uimsbf |
| }           |             |        |

**Table 10- Persistence\_Preamble**

| Syntax                  | No. of Bits | Format |
|-------------------------|-------------|--------|
| Persistence_Preamble(){ |             |        |
| Reserved_00             | 32          | uimsbf |
| Reserved_24             | 32          | uimsbf |
| marker_bits             | 2           | '10'   |
| }                       |             |        |

### A.2.2 Mastering\_DisplayClass() structure for DM App #1

Mastering\_DisplayClass() data structure is shown in Table 11. The value of max\_display is derived from the MDCV SEI message max\_display\_mastering\_luminance value.

**Table 11- Mastering\_DisplayClass()**

| Syntax                    | No. of Bits | Format |
|---------------------------|-------------|--------|
| Mastering_DisplayClass(){ |             |        |
| <b>master_disp_class</b>  | 32          | uimsbf |
| <b>reserved_bit</b>       | 1           | uimsbf |
| }                         |             |        |

### A.2.3 dm\_app1\_data\_payload() structure for DM Application #1

**dm\_app1\_data\_payload()** data structure is shown in Table 12. max\_display is extracted from the MDCV SEI message. If MCDV SEI is not present, the value *shall* be inferred to be less than 2000 cd/m<sup>2</sup>.

**Table 12- dm\_app1\_data\_payload()**

| Syntax                            | No. of Bits | Format |
|-----------------------------------|-------------|--------|
| dm_app1_data_payload() {          |             |        |
| num_ext_blocks                    | var         | ue(v)  |
| if(num_ext_blocks) {              |             |        |
| while( !byte_aligned() )          |             |        |
| dm_alignment_zero_bit             | 1           | uimbsf |
| for(i=0; i<num_ext_blocks; i++) { |             |        |
| ext_metadata_block()              |             |        |
| }                                 |             |        |
| }                                 |             |        |
| }                                 |             |        |

**Table 13- DM App1 Extension Blocks**

| Syntax   | No. of Bits | Format |
|--|-------------|--------|
| ext_metadata_block() {                               |             |        |
| ext_block_length                                     | var         | ue(v)  |
| ext_block_level                                      | 8           | uimbsf |
| ext_block_payload(ext_block_length, ext_block_level) |             |        |
| }  |             |        |

**Table 14- DM App1 Extension Block Levels**

| Syntax   | No. of Bits | Format |
|--|-------------|--------|
| ext_block_payload( ext_block_length, ext_block_level ) { |             |        |
| ext_block_len_bits = 8 * ext_block_length                |             |        |
| ext_block_use_bits = 0                                   |             |        |
| if( ext_block_level == 1 ) {                             |             |        |
| min_PQ   | 12          | uimbsf |
| max_PQ   | 12          | uimbsf |
| avg_PQ   | 12          | uimbsf |
| }  |             |        |
| }  |             |        |



|  |    |        |
|--|----|--------|
| ext_block_use_bits += 36                           |    |        |
| }  |    |        |
| if( ext_block_level == 2 ) {                       |    |        |
| target_max_PQ                                      | 12 | uimsbf |
| trim_slope   | 12 | uimsbf |
| trim_offset  | 12 | uimsbf |
| trim_power   | 12 | uimsbf |
| trim_chroma_weight                                 | 12 | uimsbf |
| trim_saturation_gain                               | 12 | uimsbf |
| ms_weight  | 13 | uimsbf |
| ext_block_use_bits += 85                           |    |        |
| }  |    |        |
| if( ext_block_level == 4 ) {                       |    |        |
| TF_PQ_mean   | 12 | uimsbf |
| TF_PQ_stdev  | 12 | uimsbf |
| ext_block_use_bits += 24                           |    |        |
| }  |    |        |
| if( ext_block_level == 5 ) {                       |    |        |
| active_area_left_offset                            | 13 | uimsbf |
| active_area_right_offset                           | 13 | uimsbf |
| active_area_top_offset                             | 13 | uimsbf |
| active_area_bottom_offset                          | 13 | uimsbf |
| ext_block_use_bits += 52                           |    |        |
| }  |    |        |
| while( ext_block_use_bits++ < ext_block_len_bits ) |    |        |
| ext_dm_alignment_zero_bit                          | 1  | uimsbf |
| }  |    |        |

This clause defines the semantics for SCTE\_DM\_App1().

For the purposes of the present clause, the following mathematical functions apply:

$$\text{Abs}(x) = \begin{cases} x & ; x \geq 0 \\ -x & ; x < 0 \end{cases}$$

Floor( x ) is the largest integer less than or equal to x.

$$\text{Sign}(x) = \begin{cases} 1 & ; x > 0 \\ 0 & ; x = 0 \\ -1 & ; x < 0 \end{cases}$$

$$\text{Clip3}(x, y, z) = \begin{cases} x & ; z < x \\ y & ; z > y \\ z & ; \textit{otherwise} \end{cases}$$

$$\text{Round}(x) = \text{Sign}(x) * \text{Floor}(\text{Abs}(x) + 0.5)$$

/ = Integer division with truncation of the result toward zero. For example, 7/4 and -7/-4 are truncated to 1 and -7/4 and 7/-4 are truncated to -1.

The precision of the information conveyed in this SEI message is intended to be adequate for purposes corresponding to the use of SMPTE ST 2094-10[5].

Table 15 contains the values of the reserved fields in this version of SCTE DM App #1. Each reserved field *shall* be set to the value as listed in Table 15.

**Table 15- Reserved Fields in Preamble()**

| <b>Fields</b>      | <b>Value</b> |
|--------------------|--------------|
| <b>Reserved_00</b> | 0x08090040   |
| <b>Reserved_01</b> | 0x6136506F   |
| <b>Reserved_02</b> | 0x003FF801   |
| <b>Reserved_03</b> | 0xFFC00FFF   |
| <b>Reserved_04</b> | 0xD0000008   |
| <b>Reserved_05</b> | 0x00000680   |
| <b>Reserved_06</b> | 0x00004000   |
| <b>Reserved_07</b> | 0x00340000   |
| <b>Reserved_08</b> | 0x02000001   |
| <b>Reserved_09</b> | 0xA2566000   |
| <b>Reserved_10</b> | 0x035EA256   |
| <b>Reserved_11</b> | 0x6F9FCEB1   |
| <b>Reserved_12</b> | 0xC256644C   |
| <b>Reserved_13</b> | 0xA0000010   |
| <b>Reserved_14</b> | 0x00000080   |
| <b>Reserved_15</b> | 0x00000080   |
| <b>Reserved_16</b> | 0x000001C3   |
| <b>Reserved_17</b> | 0x62243018   |
| <b>Reserved_18</b> | 0x60A5E308   |
| <b>Reserved_19</b> | 0xE0514000   |
| <b>Reserved_20</b> | 0x001A63E5   |
| <b>Reserved_21</b> | 0xAFFFF000   |
| <b>Reserved_22</b> | 0x00000000   |
| <b>Reserved_23</b> | 0x00000602   |
| <b>Reserved_24</b> | 0x6136517E   |

**master\_disp\_class** specifies whether the peak brightness described in the MDCV SEI message is less than 2000 cd/m<sup>2</sup> or greater than or equal to 2000 cd/m<sup>2</sup>. If the **max\_display\_mastering\_luminance** [7] in the MDCV SEI is less than 2000 cd/m<sup>2</sup>, **master\_disp\_class** *shall* be 0x00F80E15, otherwise **master\_disp\_class** *shall* be 0x00FCE015. If a MCDV SEI message is not present, **max\_display** *shall* be inferred to be less than 2000 cd/m<sup>2</sup>.

**reserved\_bit** *shall* be equal to 0.

**num\_ext\_blocks** specifies the number of extended display mapping metadata blocks. The value *shall* be in the range of 1 to 254, inclusive.

**dm\_alignment\_zero\_bit** *shall* be equal to 0.

**ext\_block\_length**[ i ] is used to derive the size of the i-th extended display mapping metadata block payload in bytes. The value *shall* be in the range of 0 to 1023, inclusive.

**ext\_block\_level**[ i ] specifies the level of payload contained in the i-th extended display mapping metadata block. The value *shall* be in the range of 0 to 255, inclusive. The corresponding extended display mapping metadata block types are defined in Table 16. Values of **ext\_block\_level**[ i ] that are SCTE/ATSC reserved *shall not* be present in the bitstreams conforming to this version of SCTE specification. Blocks using SCTE/ATSC reserved values *shall* be ignored.

- When the value of **ext\_block\_level**[ i ] is set equal to 1, the value of **ext\_block\_length**[ i ] *shall* be set equal to 5.
- When the value of **ext\_block\_level**[ i ] is set equal to 2, the value of **ext\_block\_length**[ i ] *shall* be set equal to 11.
- When the value of **ext\_block\_level**[ i ] is set equal to 4, the value of **ext\_block\_length**[ i ] *shall* be set equal to 3.
- When the value of **ext\_block\_level**[ i ] is set equal to 5, the value of **ext\_block\_length**[ i ] *shall* be set equal to 7.

**Table 16- Definition of Extended Display Mapping Metadata Block Type**

| <b>ext_block_level</b> | <b>extended display mapping metadata block type</b> |
|------------------------|---|
| 0                      | SCTE/ATSC Reserved                                  |
| 1                      | Level 1 Metadata – Content Range                    |
| 2                      | Level 2 Metadata – Trim Pass                        |
| 3                      | SCTE/ATSC Reserved                                  |
| 4                      | Level 4 Metadata – Temporally Filtered Image Level  |
| 5                      | Level 5 Metadata – Active Area                      |
| 6...254                | SCTE/ATSC Reserved                                  |
| 255                    | <i>Forbidden</i>                                    |

When an extended display mapping metadata block with **ext\_block\_level** equal to 5 is present, the following constraints *shall* apply:

An extended display mapping metadata block with `ext_block_level` equal to 5 *shall* be preceded by at least one extended display mapping metadata block with `ext_block_level` equal to 1, 2 or 4.

Between any two extended display mapping metadata blocks with `ext_block_level` equal to 5, there *shall* be at least one extended display mapping metadata block with `ext_block_level` equal to 1, 2 or 4.

No extended display mapping metadata block with `ext_block_level` equal to 1, 2 or 4 *shall* be present after the last extended display mapping metadata block with `ext_block_level` equal to 5.

The metadata of an extended display mapping metadata block with `ext_block_level` equal to 1, 2 or 4 *shall* be applied to the active area specified by the first extended display mapping metadata block with `ext_block_level` equal to 5 following this block.

When the active area defined by the current extended display mapping metadata block with `ext_block_level` equal to 5 overlaps with the active area defined by preceding extended display mapping metadata blocks with `ext_block_level` equal to 5, all metadata of the extended display mapping metadata blocks with `ext_block_level` equal to 1, 2 or 4 associated with the current extended display mapping metadata block with `ext_block_level` equal to 5 *shall* be applied to the pixel values of the overlapping area.

**min\_PQ** specifies the minimum value of the current picture in 12-bit PQ encoding. The value *shall* be in the range of 0 to 4095, inclusive. Note that the 12-bit `min_PQ` value is calculated as follows:

$$\text{min\_PQ} = \text{Clip3}(0, 4095, \text{Round}(\text{Min} * 4095))$$

where `Min` *shall* be the lowest PQ-encoded `minRGB` value of the reduced pixel set. Its value *shall* be a number in the range [0, 1] and in multiples of 0.00001. `minRGB` is the minimum of the normalized R, G or B values.

Reduced pixel set is defined in section 6.1.2 of SMPTE ST 2094-10 [5].

**max\_PQ** specifies the maximum value of current picture in 12-bit PQ encoding. The value *shall* be in the range of 0 to 4095, inclusive. Note that the 12-bit `max_PQ` value is calculated as follows:

$$\text{max\_PQ} = \text{Clip3}(0, 4095, \text{Round}(\text{Max} * 4095))$$

where `Max` is `MaximumPqencodedMaxrgb` as defined in clause 6.1.5 of SMPTE ST 2094-10 [5].

**avg\_PQ** specifies the average PQ code value of the picture in 12-bit PQ encoding. The value *shall* be in the range of 0 to 4095, inclusive. Note that the 12-bit `avg_PQ` value is calculated as follows:

$$\text{avg\_PQ} = \text{Clip3}(0, 4095, \text{Round}(\text{Avg} * 4095))$$

where `Avg` is `AveragePqencodedMaxrgb` as defined in section 6.1.4 of SMPTE ST 2094-10 [5].

**target\_max\_PQ** specifies the maximum luminance value of a target display in 12-bit PQ encoding. The value *shall* be in the range of 0 to 4095, inclusive. The `target_max_PQ` is the PQ encoded value of `TargetedSystemDisplayMaximumLuminance` as defined in clause 10.4 of SMPTE ST 2094-1 [4].

If there is more than one extended display mapping metadata block with `ext_block_level` equal to 2, those blocks *shall* have no duplicated `target_max_PQ`.

**trim\_slope** specifies the slope metadata. The value *shall* be in the range of 0 to 4095, inclusive. If trim\_slope is not present, it *shall* be inferred to be 2048. Note that the 12-bit slope value is calculated as follows:

$$\text{trim\_slope} = \text{Clip3}(0, 4095, \text{Round}((S-0.5) * 4096))$$

where  $S$  is the ToneMappingGain as defined in clause 6.2.3 of SMPTE ST 2094-10 [5].

**trim\_offset** specifies the offset metadata. The value *shall* be in the range of 0 to 4095, inclusive. If trim\_offset is not present, it *shall* be inferred to be 2048. Note that the 12-bit offset value is calculated as follows:

$$\text{trim\_offset} = \text{Clip3}(0, 4095, \text{Round}((O+0.5) * 4096))$$

where  $O$  is the ToneMappingOffset as defined in clause 6.2.2 of SMPTE ST 2094-10 [5].

**trim\_power** specifies the power metadata. The value *shall* be in the range of 0 to 4095, inclusive. If trim\_power is not present, it *shall* be inferred to be 2048. Note that the 12-bit power value is calculated as follows:

$$\text{trim\_power} = \text{Clip3}(0, 4095, \text{Round}((P-0.5) * 4096))$$

where  $P$  is the ToneMappingGamma as defined in clause 6.2.4 of SMPTE ST 2094-10 [5].

**trim\_chroma\_weight** specifies the chroma weight metadata. The value *shall* be in the range of 0 to 4095, inclusive. If trim\_chroma\_weight is not present, it *shall* be inferred to be 2048. Note that the 12-bit chroma weight value is calculated as follows:

$$\text{trim\_chroma\_weight} = \text{Clip3}(0, 4095, \text{Round}((CW+0.5) * 4096))$$

where  $CW$  is the ChromaCompensationWeight as defined in clause 6.3.1 of SMPTE ST 2094-10 [5].

**trim\_saturation\_gain** specifies the saturation gain metadata. The value *shall* be in the range of 0 to 4095, inclusive. If trim\_saturation\_gain is not present, it *shall* be inferred to be 2048. Note that the 12-bit saturation gain value is calculated as follows:

$$\text{trim\_saturation\_gain} = \text{Clip3}(0, 4095, \text{Round}((SG+0.5) * 4096))$$

where  $SG$  is the SaturationGain as defined in clause 6.3.2 of SMPTE ST 2094-10 [5].

**ms\_weight** this field is reserved for future specification. This 13-bit signed integer *shall* be 0x1fff (-1).

**TF\_PQ\_mean** specifies the temporally filtered mean of the maximum RGB value of the current frame. The value *shall* be in the range of 0 to 4095, inclusive. Note that the 12-bit TF\_PQ\_mean value for  $i^{\text{th}}$  frame  $\text{TF\_PQ\_mean}_i$  is calculated as follows:

$$\text{TF\_PQ\_norm\_mean}_i = \text{TF\_PQ\_norm\_mean}_{i-1} * (1 - \alpha) + \text{mean}(\text{PQ}(\text{maxRGB}_i)) * \alpha$$

where  $\text{maxRGB}$  is defined in section 4.1.1 of SMPTE ST 2094-1 [4] and in the range [0, 1], and

$$\alpha = \min(1, SC * |\text{mean}(\text{PQ}(\text{maxRGB}_i)) - \text{mean}(\text{PQ}(\text{maxRGB}_{i-1}))| * 8 + 0.1)$$

The value of  $SC$  is set to 1 at a scene boundary; otherwise the value of  $SC$  is set to 0.

$$TF\_PQ\_mean_i = Clip3(0, 4095, Round(TF\_PQ\_norm\_mean_i * 4095))$$

**TF\_PQ\_stdev** specifies the temporally filtered standard deviation of the maximum RGB value of the current frame. The value *shall* be in the range of 0 to 4095, inclusive. Note that the 12bit - value for  $i^{\text{th}}$  frame  $TF\_PQ\_stdev_i$  is calculated as follows:

$$TF\_PQ\_norm\_stdev_i = TF\_PQ\_norm\_stdev_{i-1} * (1 - \alpha) + stdev(PQ(maxRGB_i)) * \alpha$$

where  $maxRGB$  is defined in section 4.1.1 of SMPTE ST 2094-1 [4] and in the range [0, 1], and

$$\alpha = \min(1, SC * |\text{mean}(PQ(maxRGB_i)) - \text{mean}(PQ(maxRGB_{i-1}))| * 8 + 0.1)$$

The value of  $SC$  is set to 1 at a scene boundary; otherwise the value of  $SC$  is set to 0.

$$TF\_PQ\_stdev_i = Clip3(0, 4095, Round(TF\_PQ\_norm\_stdev_i * 4095))$$

*Note: The alpha value is calculated on each new scene boundary; this permits a smooth transition between scenes. The scene boundary can be determined by an appropriate mechanism, such as in the encoder.*

**active\_area\_left\_offset, active\_area\_right\_offset, active\_area\_top\_offset, active\_area\_bottom\_offset** specify the selected pixels of the current picture, in terms of a rectangular region specified in picture coordinates for the active area. The values *shall* be in the range of 0 to 8191, inclusive. See also ProcessingWindow of ST 2094-10 [5].

`active_area_left_offset`, `active_area_right_offset`, `active_area_top_offset`, `active_area_bottom_offset` represent the coordinates of UpperLeftCorner and LowerRightCorner constrained in clause 7.1 of ST 2094-10[5] as follows:

$$\begin{aligned} \text{UpperLeftCorner} &= (\text{active\_area\_left\_offset}, \text{active\_area\_top\_offset}) \\ \text{LowerRightCorner} &= (\text{XSize} - 1 - \text{active\_area\_right\_offset}, \text{YSize} - 1 - \\ &\quad \text{active\_area\_bottom\_offset}) \end{aligned}$$

where Xsize is the horizontal resolution of the current picture and Ysize is the vertical resolution of the current picture.

**ext\_dm\_alignment\_zero\_bit** *shall* be equal to 0.

## **Appendix B: Syntax and Semantics for SCTE DM App #4**

The syntax and semantics of DM App #4 in SEI ITU T.35 messages is defined in CTA-861 [11]. This includes identification of the SEI T.35 Construct information, including `itu_t_t35_country_code`, `itu_t_t35_terminal_provider_code`, and `user_identifier` information.